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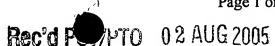
ney's Docket No. U.S. Department of Commerce Patent and Trademark Office FORM PTO-1390 TRANSMITTAL LETTER TO THE UNITED STATES 2732-160 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. Application No. (if known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 10/523.696 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE August 6, 2002 PCT/EP03/08668 August 5, 2003 TITLE OF INVENTION DEVICE AND METHOD FOR ALIGNING BANK NOTES APPLICANT(S) FOR DO/EO/US Gerhard SCHINZEL-REINER, Frank WERNER Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: [ ] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371 [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. [ ] The US has been elected (Article 31). [ ] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [ ] is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. [ ] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [ ] is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. ] have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). ITEMS 11. TO 20. below concern other document(s) or information included: 11. [X ] A Second Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment w/Substitute Specification (marked-up and clean versions). Lecture 3 163 163 An Application Data Sheet under 37 CFR 1.76. 15523596

- 13.

- A substitute specification. 15. [
- A power of attorney and/or address letter. 16. [
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-
- 18. [ ] A second copy of the published international application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X ] Other items or information: Notice

	U.S. APPLICATION NO. (If k 37 CFR 1.50) INTERNATIONAL APPLICATION NO. PCT/EP03/08668		ATTORNEY DOCKET NO. 2732-160			
	21. [ ] The following fees are submitted:				CALCULATIONS	PTO USE ONLY
	Basic National Fee					
	Examination Fee	\$ 100.00				
	Search Fee	\$ 400.00				
		\$				
	Surcharge of \$130.00 for months from the earliest	\$130.00				
	Claims	Number Filed	Number Extra	Rate		
	Total Claims	-20 =		X \$50.00	\$	
	Independent Claims	- 3 =		X \$200.00	\$	
	Multiple dependent claim(s) (if applicable) + \$360.00				\$	
		LCULATIONS =	\$			
	Applicant claims s indicated above ar	\$				
		\$130.00				
	Processing fee of \$130.00 months from the earliest	\$				
		\$130.00				
	Fee for recording the enc accompanied by an appro	\$				
		\$				
08/05/20 01 FC:16	5 GFREYI 00000082 022135 7 130.00 DA	Amount to be refunded	\$			
	330000 217	charged	\$130.00			
a. A check in the amount of \$ to cover the above fees is enclosed.  b. X Please charge my Deposit Account No. 02-2135 in the amount of \$130.00 to cover the A duplicate copy of this sheet is enclosed.  c. X The Commissioner is hereby authorized to charge any additional fees which may be recredit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petit (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO:  Customer No. 6449  George R. Repper						
	Rothwell, Figg, Ernst & Man 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040	DECK		Name 31,414 Registration Numb	er	

2732-160-1390-2.wpd





## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Tradernark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandria, Viginia 22313-1450

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/523 696	Gerhard Schinzel-Reiner	2732-160

INTERNATIONAL APPLICATION NO.

PCT/EP03/08668

LA. FILING DATE PRIORITY DATE 08/05/2003 08/06/2002

6449 ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET, N.W. SUITE 800 WASHINGTON, DC 20005

**CONFIRMATION NO. 8531 371 FORMALITIES LETTER** 

\*OC000000016497696\*

Date Mailed: 07/12/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 02/03/2005
- English Translation of the IA filed on 02/03/2005
- Copy of the International Search Report filed on 02/03/2005
- U.S. Basic National Fees filed on 02/03/2005
- Substitute Specification filed on 02/03/2005
- Priority Documents filed on 02/03/2005
- Specification filed on 02/03/2005
- Claims filed on 02/03/2005
- Abstracts filed on 02/03/2005

RECEIVED/FACILITIES
ROTHWELL FIGG ERNST & MAI
NO. 2732-102 FILE NO ...

FAC PROC. INIT.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

ALL OF THE ITEMS SETTORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

## PART 1 - ATTORNEY/APPLICANT COPY

	<u> </u>	<u> </u>
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/523,696	PCT/EP03/08668	2732-160

FORM PCT/DO/EO/905 (371 Formalities Notice)